

1 **Making Waves: Collaboration in the time of SARS-CoV-2 - rapid development of an**
2 **international co-operation and wastewater surveillance database to support public**
3 **health decision-making**

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Abstract

The presence of SARS-CoV-2 RNA in wastewater was first reported in March 2020. Over the subsequent months, the potential for wastewater surveillance to contribute to COVID-19 mitigation programmes has been the focus of intense national and international research activities, gaining the attention of policy makers and the public. As a new application of an established methodology, focused collaboration between public health practitioners and wastewater researchers is essential to developing a common understanding on how, when and where the outputs of this non-invasive community-level approach can deliver actionable outcomes for public health authorities. Within this context, the NORMAN SCORE “SARS-CoV-2 in sewage” database provides a platform for rapid, open access data sharing, validated by the uploading of 276 data sets from nine countries to-date. Through offering direct access to underpinning meta-data sets (and describing its use in data interpretation), the NORMAN SCORE database is a resource for the development of recommendations on minimum data requirements for wastewater pathogen surveillance. It is also a tool to engage public health practitioners in discussions on use of the approach, providing an opportunity to build mutual understanding of the demand and supply for data and facilitate the translation of this promising research application into public health practice.

1. Introduction

Research continues apace into many aspects of the use of wastewater surveillance for the detection of SARS-CoV-2 and how data generated can be utilised within local public health decision-making. Also known as sewage or environmental surveillance, the approach has an

100 established literature in terms of monitoring the occurrence and concentration of chemicals
101 arriving at a wastewater treatment plant (WWTP) (Choi et al., 2018). Determined chemical
102 concentrations, loads and population normalised loads of illicit (González-Mariño et al., 2020;
103 Ort et al., 2014) and licit drugs including tobacco, caffeine and alcohol (Castiglioni et al., 2015;
104 Gracia-Lor et al., 2017; Ryu et al., 2016, Thomaidis et al., 2016) are used to provide
105 quantitative longitudinal data sets on the use at a catchment level. It is also possible to
106 evaluate the rates of exposure to environmental or food contaminants using the same
107 approach (Rousis et al., 2017; Lopardo et al., 2019). Furthermore, wastewater surveillance
108 can be used to evidence changes overtime in relation to the implementation of new policy
109 initiatives. The practical utility of chemical wastewater surveillance data sets is demonstrated
110 by its use within local and national monitoring and public health programmes (EMCDDA, 2020;
111 Riva et al. 2020; Lai et al., 2018). Prior to 2020, the use of wastewater surveillance for
112 monitoring pathogens was gaining ground only slowly. Most notably, enterovirus wastewater
113 surveillance systems have been established in several locations (Sedmak et al., 2003;
114 Majumdar et al., 2018), with wastewater surveillance identified as playing a key role in polio
115 eradication schemes in Israel, India and Egypt (WHO, 2020; Ashgar et al., 2014; Holm-
116 Hansson et al., 2017). The first SARS-CoV-2 wastewater surveillance studies were
117 undertaken in the Netherlands, with viral RNA material detected in wastewater treatment
118 influent samples in seven Dutch cities and the international airport (Medema et al., 2020a).
119 This landmark study included data on the detection of viral fragments in wastewater in one
120 city prior to the detection of any clinical cases. This potential to provide an early warning on
121 the presence of the virus within a community is a proof-of-concept and an evidence base that
122 could be used by public health teams as a trigger to intensify clinical testing, facilitating the
123 identification and isolation of positive cases (Thompson et al., 2020; POST, 2020). Hence, the
124 use of wastewater surveillance for SARS-CoV-2 as a tool to address the COVID19 pandemic
125 is a new application of an established method in a rapidly moving field.

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127 SARS-CoV-2 wastewater surveillance studies to date have demonstrated the occurrence of
128 its RNA genome in a range of compartments, primarily WWTP influents but it has also been
129 reported in sludge and effluents as well as within receiving waters (Jones et al., 2020;
130 Randazzo et al., 2020). In terms of infectivity potential of wastewater containing SARS-CoV-
131 2 RNA, initial studies (Westhaus et al., 2021; Rimoldi et al., 2020; Bivins et al., 2020a) and
132 expert opinion (WHO, 2020; Jones et al., 2020) indicate that detected RNA materials do not
133 occur in the form of an infectious viral particle. Further studies also looked to establish a
134 quantitative relationship between viral load and number of clinical cases reported within a
135 catchment (Vallejo et al., 2020; Ahmed et al., 2020). However, variations in the load and
136 duration of viral material shed in faeces by asymptomatic, pre-symptomatic and symptomatic
137 cases, together with limited understanding of the fate of viral particles within sewer systems
138 (which vary significantly in design and flow dynamics), and variations in analytical protocols
139 and their associated extraction efficiencies, generates considerable uncertainty in terms of
140 directly relating viral loads to numbers of cases. Hence, many open challenges exist within
141 this research area and use of data by public health teams. Within the field, key research
142 questions encompass the potential for viral materials to adsorb to biofilm and particles,
143 degrade in the sewage system and optimising sample collection processes, including
144 collection location and frequency (WHO, 2020). Moreover, the need to standardise and
145 optimise analytical protocols has been clearly identified (Michael-Kordatou et al., 2020). In
146 terms of interpreting data, key issues include data comparability between studies (e.g. use of
147 a common marker for normalisation and how contextual data e.g. flow and other parameters
148 are included in data interpretation), the identification of a SARS-CoV-2 RNA threshold value
149 and the actions that exceeding a threshold value should trigger (Medema et al., 2020b).
150 Variations in the amount of viral RNA excreted per person are a further unknown, and inherent
151 levels of variability in shedding may make accurate predictions of prevalence impossible.
152 However, the absence of an absolute understanding of shedding rate behaviour does not
153 preclude the use of this approach in public health contexts, where relative changes in signal
154 (as opposed to its absolute value) can provide public health teams with valuable data. Further

155 open questions remain over ethical aspects related to the use of wastewater surveillance, and
156 the need to develop a social license to operate if the approach is to be successfully adopted.
157 Whilst ethical aspects have been largely overlooked during the current health emergency,
158 developments in near source tracking e.g. analysis of wastewater from aeroplanes, hospitals
159 and schools (Ahmed et al., 2020; Gonçalvesa et al., 2021; Hassard et al., 2020, Hong et al.,
160 2021) is rapidly pushing this issue up the research and practice agenda. In this article a
161 bottom-up, collaborative approach to enabling researchers to systematically and rapidly share
162 raw data on traditional wastewater parameters, the occurrence of SARS-CoV-2 and clinical
163 case numbers is presented, as both a resource for researchers and a tool to facilitate
164 discussion with public health teams.

165

166 **2. The use of wastewater surveillance data within public health decision-making**

167 Wastewater surveillance can be used to non-invasively screen 'hard to test' communities (i.e.
168 where uptake of testing is low or challenging for resource reasons) at a sewer catchment level
169 as a new public health tool to understand COVID-19 spread (CDC, 2020; POST, 2020).
170 Detection of SARS-CoV-2 RNA fragments in wastewater is independent of clinical testing
171 strategy bias (Thompson et al., 2020), can be used as an early warning of the need for further
172 testing (e.g. reallocating/increasing local testing resources such as drive-through test facilities)
173 or the implementation of wastewater surveillance upstream of the WWTP i.e. near-source
174 tracking to identify location of cases (Hassard et al., 2020). For example, the detection of
175 SARS-CoV-2 RNA concentrations can indicate the (re-)emergence of the virus in a catchment
176 following a period of no clinical cases and an increase in viral RNA load can indicate the
177 occurrence of new outbreaks, requiring the urgent tracing of infected individuals and their
178 subsequent support to isolate (DEFRA, 2020). Likewise decreasing prevalence can indicate
179 that infected individuals are 'known' and isolation/public health interventions are effective.
180 Further, an increase in viral load over time against a trend of 'no-change' in daily positive case
181 numbers could indicate that the clinical testing regime should be intensified (i.e. new cases
182 are not being detected) (Thompson et al., 2020). Wastewater surveillance data sets can also

183 be used to evidence the effect of alternative policy actions e.g. curfew vs local lockdown vs
184 national lockdown at a community level, as well as track progress of vaccination campaigns.
185
186 To deliver these types of actionable outcomes i.e. to enable public health authorities to use
187 wastewater surveillance data within their community level decision-making processes requires
188 activities on several fronts. As well as addressing the wastewater surveillance methodological
189 and analytical challenges identified earlier, data from wastewater needs to be collected
190 frequently and available rapidly in a format that is useful and useable by public health
191 practitioners. Further collaboration between wastewater and public health practitioners is
192 required to ensure that public health teams can access the type of data they require in a
193 timeframe and format that integrates with current pandemic mitigation measures i.e.
194 addressing public health data requirements needs to be front and centre of operationalising
195 this new development in wastewater surveillance. The format and sampling strategies
196 underpinning wastewater data sets may need to morph in terms of the locations and frequency
197 of sample collection, quality assurance/quality control processes, scale at which data is
198 generated and made available and the aspects of primary value from a public health
199 perspective i.e. absolute values or trends analysis. Delivering this type of integrated data share
200 'dashboard' is already challenging under usual working conditions; working across disciplines
201 during a pandemic when public health teams are at (or beyond) full capacity is extremely
202 challenging. However, collaboration between public health and wastewater researchers –
203 where public health practitioners take a lead role in determining dashboard development - is
204 happening. For example, in Australia, the development of a SARS-CoV-2 wastewater
205 surveillance dashboard was led by a collaboration between the Victorian state public health
206 team and Water Research Australia. This has already matured from a research and
207 development phase to an operational tool for day-to-day use with functional dashboards for
208 both internal and external communications (Victoria State Government, 2020). Other countries
209 with established monitoring programs include Canada ([https://cwn-rce.ca/covid-19-](https://cwn-rce.ca/covid-19-wastewater-coalition/)
210 [wastewater-coalition/](https://cwn-rce.ca/covid-19-wastewater-coalition/)),Finland

211 (https://www.thl.fi/episeuranta/jatevesi/jatevesiseuranta_viikkoraportti.html), Luxembourg
212 (<https://www.list.lu/en/covid-19/>), Greece (<http://trams.chem.uoa.gr/covid-19/>), the
213 Netherlands (<https://www.rivm.nl/en/covid-19/sewage>), and Spain
214 ([https://www.miteco.gob.es/es/agua/temas/concesiones-y-autorizaciones/vertidos-de-aguas-
215 residuales/alerta-temprana-covid19/default.aspx](https://www.miteco.gob.es/es/agua/temas/concesiones-y-autorizaciones/vertidos-de-aguas-residuales/alerta-temprana-covid19/default.aspx)). In the UK, sharing of data between a
216 government-led wastewater surveillance project and the national COVID-19 ‘track and trace’
217 programme led to the identification of an increase in SARS-CoV-2 RNA in wastewater despite
218 relatively low numbers of people taking clinical tests (DEFRA, 2020). This data was used to
219 alert local health professionals to contact people in the area to warn of the increase in cases
220 and encourage local populations to engage with clinical testing programmes.

221
222 The need for and benefits of collaboration among wastewater researchers has been
223 recognised and several international and national collaborations rapidly established (e.g.
224 Bivins et al., 2020b; WRF, 2020; WHO, 2020; JRC, 2020; Réseau Obépine, 2020; WRA, 2020;
225 UCMERCED, 2020). These have focussed primarily on technical and analytical issues,
226 facilitating opportunities for rapid discussion on a range of topics from recent publications to
227 method development, predictive modelling and risk assessment. However, collaboration
228 activities to-date have yet to address two key issues: firstly, the development of an open-
229 access data platform to enable and facilitate the rapid sharing and critical evaluation of multiple
230 wastewater meta-data sets to address technical issues (Bivins et al., 2020a). Secondly,
231 engagement with public health authorities i.e. development of a critical mass of public health
232 and wastewater researchers to collaboratively identify and deliver an operational SARS-CoV-
233 2 wastewater surveillance public health system.

234

235 **3. Open access data sharing to progress collaboration across disciplines**

236 The NORMAN/SCORE SARS-COV-2 in sewage (SC2S) database is a platform, which can
237 contribute to meeting both these needs. This open-access database is an output of the

238 collaboration between two international networks: the NORMAN network ([www.norman-](http://www.norman-network.net/)
239 [network.net/](http://www.norman-network.net/)) of research organisations supporting the validation and harmonisation of
240 measurement methods and monitoring tools and SCORE (<https://score-cost.eu>) a network
241 established to harmonise methodologies for measuring human biomarkers in wastewater to
242 evaluate lifestyle, health and exposure at the community level. The database is located within
243 the NORMAN Database System at <https://www.norman-network.com/nds/> as the latest
244 addition to its 13 database modules within the interlinked database system series for the
245 collection and evaluation of data / information on emerging substances in the environment
246 (Dulio et al., 2020). The SC2S database structure follows that of the NORMAN Antibiotic
247 Resistance Bacteria/Genes database, enabling users to freely access data at a WWTP level
248 as well as upload new data via a customised data collection template (DCT; downloadable
249 from the website) which facilitates its automatic uploading to the system. On accessing the
250 database, users can search via country and/or WWTP or view the entire data set (both within
251 the database or it can be exported into MS Excel) without any restrictions. Data displayed in
252 the dashboard includes sampling date, gene copy (number of copies /mL and/or ng of
253 RNA/mL), cycle threshold (Ct), WWTP and country name, population served and the number
254 of people reported SARS-CoV-2 positive in the sewer catchment area on the day of sampling.
255 Table 1 identifies the requested reporting parameters and provides an overview of their role
256 in interpreting generated data sets. Finally, the full DCT containing all reported data on all
257 parameters can be downloaded for each dataset. In terms of engaging the attention of public
258 health authorities, as a first step it includes both wastewater and clinical case data. In addition,
259 and perhaps more importantly, it is a starting point for further discussions with public health
260 practitioners on what wastewater surveillance is, the types of longitudinal data sets it can
261 produce (together with process controls), and the potential of this non-invasive approach as a
262 tool to provide an early warning of new clusters as well as the impact of existing pandemic
263 mitigation measures.

264

265 To launch the database, invitations to participate were initially shared through both the
266 NORMAN and SCORE networks, with a request for members to disseminate further through
267 their own networks. To harmonise activities, participants were provided with a common
268 protocol covering sample collection, RNA extraction and analysis. The common protocol
269 (available at https://www.norman-network.com/nds/sars_cov_2/) adopts the Medema et al
270 (2020) methodology with an alternative simplified protocol for SARS-CoV-2 extraction from
271 wastewater via polyethylene glycol (PEG) precipitation (recognising that many
272 consumables/equipment currently in short supply). Given the logistical challenges and
273 urgency to share data quickly, participating laboratories did not undertake an inter- laboratory
274 validation procedure but were asked to report their laboratory QA/QC procedures in full.
275 Submission of data using both methods is welcomed, with space on the DCT to identify which
276 approach was used and the genes targeted. A further step was to establish a ‘buddy system’
277 for research groups who were able to collect wastewater samples but whose laboratories were
278 under lock-down and/or were not familiar with RNA analysis. As such, the rapid sharing of a
279 common protocol also had a capacity building effect, enabling many groups to explore
280 opportunities to undertake wastewater surveillance for pathogens for the first time. Two
281 scheduled sampling campaigns were held on June 1st 2020 and June 15th 2020, with data
282 referring to further identified sampling campaigns now welcomed. To date the SC2S database
283 contains 276 sets of data from nine different countries (see Figure 1).

284

285 The impact of pandemic mitigation measures on working conditions impacted on the ability to
286 both collect and manage samples e.g. reduced access to WWTPs and laboratories,
287 consumables and/or work force. Further, whilst the DCTs were developed to support
288 systematic data reporting, not all laboratories were able to provide all requested data due to
289 the on-going challenges experienced by many research groups in terms of access to
290 laboratories, shortages/delays in shipping consumables and reduced work force.
291 Nevertheless, all received data sets were uploaded to achieve the aim of rapid data share as
292 a compliment to ongoing efforts to standardise sampling and analytical protocols.

293 Downloading the current data set shows that 24-hour composite samples (either volume-
294 weighted or time-weighted) were collected on several dates on or close to scheduled sampling
295 dates (from 24th May 2020 – 16th June 2020) with grab and/or composite samples collected
296 on further as local conditions permitted. Sample preparation date, date of analysis and storage
297 conditions were identified, together with the method used for sample preparation, RNA
298 extraction, analysis and the use of internal standards in the sample preparation phase (61%
299 of samples) and the RNA extraction step (88% of samples). Reviewing the data set as a whole,
300 a positive signal for SARS-CoV-2 was quantified in 167 of the 276 samples analysed. Of these
301 167 samples, the N1 gene was quantified in 18 samples, N2 gene in 8 samples, a combined
302 measure of N1 and N2 in 133 samples and the E gene in 3 samples. Ct counts ranged from
303 31.9 - 41.9 (median 35), with the number of gene copies/ml ranging from 0.04 – 148 gene
304 copies/mL (median: 10.6 gene copies/mL). In terms of quality control, reported analysis
305 included two to six replicates per sample with the use of a positive control reported in the
306 analyses of 268 of the 276 samples. The analytical limit of detection was reported on 173
307 occasions (range: 3 – 5 gene copies/ml for N1 gene; 0.5-5 gene copies/ml for N2 gene; 0.75
308 gene copies/ml for N1/N2 combined gene measurement; 0.5 - 100 gene copies/mL for E
309 gene), with a study by Philo et al. (2021) suggesting that the variability in detection between
310 target genes could be due to variations in the performance of assays or differential rates of
311 degradation in the target genetic material. No study reported their limit of quantification. In
312 terms of clinical data, the number of positive cases reported in the local municipality (which
313 may/may not reflect the sewer catchment) on the day of sampling was reported for 260 of the
314 276 samples analysed (range: 0 – 1701; median = 239 cases). Whilst at sewer catchment
315 level, ethical issues around participant anonymity and data protection is generally not an issue.
316 However, as contributing areas reduce to, for example, an individual building level, the need
317 to systematically and robustly consider the use of generated data at source and further
318 downstream (i.e. secondary data use) becomes increasingly urgent.

319 **4. Conclusions**

320 The current data hosted by the SC2S provides a snapshot of the occurrence of SARS-CoV-2
321 in wastewater at participating WWTPs and demonstrates the ad-hoc cooperation of the
322 scientific community on data collection. However, more importantly, the NORMAN/SCORE
323 initiative:

- 324 • demonstrates that the SC2S database is a workable multi-jurisdictional data-share
325 platform with potential to facilitate development of an international dataset
- 326 • provides a tool to engage and inform discussions with public health practitioners on
327 the potential role of wastewater surveillance as an additional approach to integrate
328 within community public health strategies
- 329 • is open to all (contributors are warmly invited to submit data from any campaigns they
330 are able to share, using the relevant sections on the DCT to document sample
331 collection, storage and analytical details together with clinical case numbers)
- 332 • with continued use, this collection of wastewater meta-data will support a retrospective
333 analysis of the impact of differing sewer/catchment/population variables on the use of
334 wastewater surveillance as a tool in public health practice
- 335 • facilitated the collection of comparable data sets from an early phase of the pandemic;
336 continued use will provides an opportunity to maximise operational insights gained
337 during different phases of the pandemic and support development of robust best
338 practice in wastewater surveillance.

339

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